



Illuminating the Activated Brain: Emerging Activity-Dependent Tools to Capture and Control Functional Neural Circuits

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Abstract Immediate-early genes (IEGs) have long been used to visualize neural activations induced by sensory and behavioral stimuli. Recent advances in imaging techniques have made it possible to use endogenous IEG signals to visualize and discriminate neural ensembles activated by multiple stimuli, and to map whole-brain-scale neural activation at single-neuron resolution. In addition, a collection of IEG-dependent molecular tools has been developed that can be used to complement the labeling of endogenous IEG genes and, especially, to manipulate activated neural ensembles in order to reveal the circuits and mechanisms underlying different behaviors. Here, we review these techniques and tools in terms of their utility in studying functional neural circuits. In addition, we provide an experimental strategy to measure the signal-to-noise ratio of IEG-dependent molecular tools, for evaluating their suitability for investigating relevant circuits and behaviors.

Keywords Immediate-early gene · Emotion · Activity-dependent tools · Neural ensembles · *c-fos* · *Arc*

Introduction

One of the most intriguing problems in neuroscience is to understand how brains respond to various sensory and behavioral stimuli [1, 2]. At the cellular level, these stimuli are represented (i.e. encoded) by specific stimulus-activated neural ensembles. Visualizing and manipulating these ensembles in lab animals will allow us to reveal the neural circuits and underlying neural mechanisms that drive specific behavioral responses, which will ultimately be used to understand the neuroscience of human behaviors and the neuropathology of related disorders.

Immediate-early genes (IEGs) such as *c-fos*, *Arc*, and *Zif268/Egr1* are rapidly activated in stimulated neurons [3–5], and IEG activation has long been used to label and map stimuli-activated neural ensembles by direct visualization through either immunohistochemistry (IHC) or *in situ* hybridization (ISH) [6, 7]. Additional co-staining for markers of specific neuronal types has further revealed the cellular identities of activated neural ensembles, and has provided the foundation for using the molecular tools described below to trace the circuits activated by stimuli.

In recent years, novel techniques have been developed to further expand the application of IEGs to the study of the neural activation patterns induced by various types of stimuli or behavioral experiences. In this review, we first focus on novel imaging techniques that use endogenous IEG signals to either differentiate neural ensembles activated by multiple stimuli, or to visualize activated neural networks at the whole-brain scale and single-neuron resolution. Then we discuss novel tools that use IEG-based regulatory sequences or molecules modulated by Ca^{2+} -influx to label and manipulate neurons and their projections.

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Dual-Epoch Mapping Techniques to Discriminate Different Functional Circuits

It is often desirable to map the neural representations of two distinct stimuli, such as emotional stimuli of an appetitive and an aversive nature [8–13], across the whole brain at single-cell resolution. This naturally raises the question of whether the same neural ensemble is activated by both stimuli. Experimentally, a single ISH or IHC against an IEG in a dual-stimulated test animal cannot differentiate the two ensembles. Neither can this be done by comparing the neural activation patterns between two test animals, each given one of the stimuli, due to the inherent variability in the spatial distribution of neurons between animals.

To solve this problem, several labs have developed IEG-dependent dual-epoch mapping techniques to identify and differentiate neural ensembles activated by different stimuli in the brain of the same animal. Cellular compartment analysis of temporal activity by fluorescence *in situ* hybridization (CatFISH) was developed to visualize and distinguish the hippocampal neural ensembles activated by different environments using experience-induced *Arc* (activity-regulated cytoskeleton-associated) mRNA [14, 15]: in CatFISH, the test animals are first placed in a novel environment for 5 min, returned to their home cages for ~20 min, and then placed in a second novel environment for 5 min before being sacrificed. The 20-min interval between the two novel environments allows the *Arc* mRNA induced by the first novel environment to be completely transported out of the nucleus to the cytoplasm, while by the time the test animals are sacrificed, the *Arc* mRNA induced by the second environment remains exclusively in the nucleus. Thus, neural ensembles activated by different environments can be distinguished by the subcellular distribution of *Arc* mRNA. Based on similar principles, a *c-fos*-based CatFISH was later developed to label neurons activated by mating and fighting behaviors in mice [16].

Alternatively, several groups developed a differential-labeling technique separately using the mRNA and protein signals of the same IEG. This is based on the finding that the mRNA signals of IEGs such as *c-fos* and *zif268/Egr1* rise and peak very rapidly in stimulus-activated neurons, but their protein signals accumulate and peak significantly later. Such differences in the kinetics of mRNA and protein accumulation lead to a substantial temporal separation between the stimuli-induced mRNA and protein peaks (Fig. 1). So, when two stimuli separated by an appropriate time interval are applied to the same animal, at a carefully chosen time point of inspection, the IEG signals in neurons activated by the first stimulus will all be in protein form, while

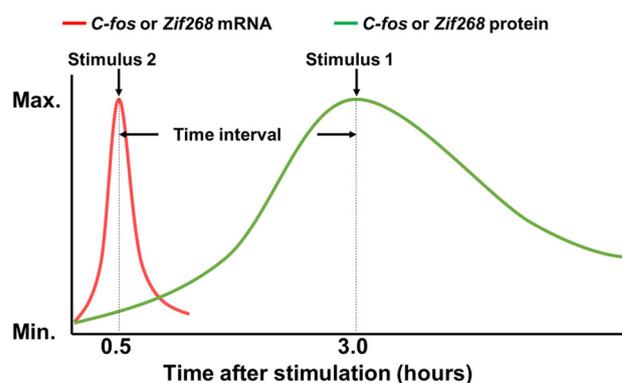


Fig. 1 Principle of dual-epoch mapping techniques such as I-FISH and TAI-FISH. These methods use *Zif268* or *c-fos* mRNA and protein signals to differentially label neural ensembles induced by two different stimuli. In TAI-FISH, Xiu *et al.* [19, 20] used tyramide to improve the signal-to-noise ratio of IHC and achieved better temporal separation between *c-fos* mRNA and protein signals than I-FISH.

those in neurons activated by the second stimulus will all be in mRNA form. Thus, the two differently-activated neural ensembles can be distinguished using ISH and IHC.

Chaudhuri *et al.* first developed a technique using regular IHC and fluorescent ISH (abbreviated as I-FISH) to detect *Zif268* signals to label and differentiate neurons activated by different sensory stimuli [17, 18]. Inspired by this technique, Xiu *et al.* developed tyramide-amplified IHC–FISH (TAI-FISH) to further investigate neural activations in the prefrontal cortex stimulated by either appetitive or aversive stimuli [19, 20]. In TAI-FISH, tyramide was used to further amplify IHC signals to improve the signal-to-noise ratio and more robustly separate the protein and mRNA signal peaks induced by two stimuli. Using *c-fos*-based TAI-FISH, Xiu and colleagues found that appetitive and aversive valences are coded by largely distinct, often spatially separated neural ensembles in many of the same brain regions, thus indicating that different emotional valences are coded by distinct neural circuits.

Imaging Neural Projections Using IEG-Dependent Signals at the Whole-Brain Scale

In addition to visualizing activated neuronal cell bodies, mapping of their projections across the whole brain is also required to enable systemic identification of brain circuits that are functionally involved in different behaviors, and to assess whether and how they are functionally connected. But aside from several hundred micrometers of cortical layers, the deep brain is impenetrable to the light microscope. Meanwhile, conventional brain sectioning

techniques lack the necessary resolution for reconstructing projections that constitute a neural network.

Two different strategies have been developed to solve this problem. Optical sectioning technologies, which include BBAB [21], ScaleA2 [22], 3DISCO [23, 24], ClearT2 [25], SeeDB [26], CLARITY [10, 27, 28], CUBIC [29], iDISCO [30, 31], and PACT [32], use special solutions to clear brain tissues by dissolving lipids while preserving the rest of the structure, most importantly neurons and projections, thus matching the refractive indexes between brain regions and reducing light scatter so that neurons and projections once hidden deep in the brain can now be imaged [33].

Alternatively, fluorescent Micro-Optical Sectioning Tomography (fMOST) combines ultrathin mechanical sectioning, simultaneous imaging, and 3D-image reconstruction to scan the whole mouse brain to image fluorescent protein-labeled neurons and their projections at micron-scale resolution [34–36]. This was further improved by chemically reactivating fluorescent protein signals after sample embedding [37]. Thus, fMOST is compatible with the IEG-dependent tools that express fluorescent proteins to label activated neural networks.

So far, CLARITY has been used to map *c-fos*-driven tdTomato signals induced by cocaine or foot shock [10]. All of these techniques are compatible with fluorescent protein visualization, and thus can be used in conjunction with IEG-dependent labeling tools (see next section). It will be exciting to see what new neural ensembles and functional circuits will be revealed with these imaging technologies.

IEG-Dependent Molecular Tools to Functionally Label and Manipulate Activated Neural Ensembles

Complementing endogenous-IEG-based methods, in the last two decades, a variety of IEG-dependent molecular tools, either in the form of transgenic animals or virus-based expression constructs, have been developed to study neural activation [38].

Some of these tools use IEG promoters to activate the expression of a reporter to label activated neurons. The most frequently-used reporter is a fluorescent protein [39–45], although beta-galactosidase (*lacZ*) [46] and luciferase [47, 48] have also been used. In addition to regular fluorescent proteins, new species like d2EGFP that has a shorter half-life, or “fluorescent timers” which change color over time after being translated [49], have also been used to either reduce the non-specific labeling caused by leaky expression [44, 50–52], or to differentially label neurons activated at different time points [53].

Some of these tools express effector genes to manipulate the activated ensembles. For example, *c-fos-LacZ* has also been used to convert the infused compound Daun02 to daunorubicin to inhibit Ca^{2+} -dependent neuronal activity. This technique has been used to identify and manipulate neurons involved in contextual drug conditioning and food-seeking behaviors [46, 54–57], although it should be noted that the robustness and specificity of the *LacZ*-Daun02 technique has yet to be fully quantified and hence evaluated as illustrated in Fig. 2 and the section entitled “Evaluation of the Robustness and Specificity of IEG-Dependent Tools”.

Today, opsins such as channel rhodopsin [58, 59], halorhodopsin [60], and archaerhodopsin [61] are the most frequently used effectors and have been shown to be able to bidirectionally manipulate neural ensembles involved in memory formation [53, 62–65], innate and learned behaviors [66, 67], and contextual fear conditioning [64, 65]. DREADD (designer receptor exclusively activated by designer drug) receptors [68, 69] have also been used to activate or inhibit stimulated ensembles [70].

To ensure that the reporters and effectors are both exclusively and robustly expressed in stimuli-activated neurons and nowhere else, both native IEG promoters such as *c-fos*, *Arc*, and *Egr1* [44, 67, 71, 72], and native enhancers such as the Synaptic Activity-Responsive Element (SARE) of the *Arc* gene (used in conjunction with a minimal *Arc* promoter) have been successfully used to label and manipulate activated neurons and spines [52, 73]. Furthermore, synthetic promoters have been designed to combine multiple discrete regulatory motifs with minimal IEG promoters to express the reporter or effector genes more robustly, or to reduce background neural labeling. For example, the Robust Activity Marking system fuses the Activator Protein-1 site and *Npas4*-Responsive Element sites to a minimal human *FOS* promoter to induce >30-fold induction of labeling after contextual fear conditioning in the hippocampal CA3 region [74]. Similarly, the enhanced SARE system clustered multiple subregions of the native SARE enhancer to a minimal *Arc* promoter, and it demonstrated far stronger inducibility in labeling contralateral V1 layer 2/3 neurons in a monocular stimulation paradigm than a *c-fos* promoter [50].

“Two-Tiered” IEG-Dependent Tools and Their Temporal Control Mechanisms

One major challenge for the IEG-dependent molecular tools is to minimize the activity of IEG promoters in the absence of a stimulus, because leaky activity of the IEG-dependent promoter may cause substantial background neuron labeling or effector expression. To solve this

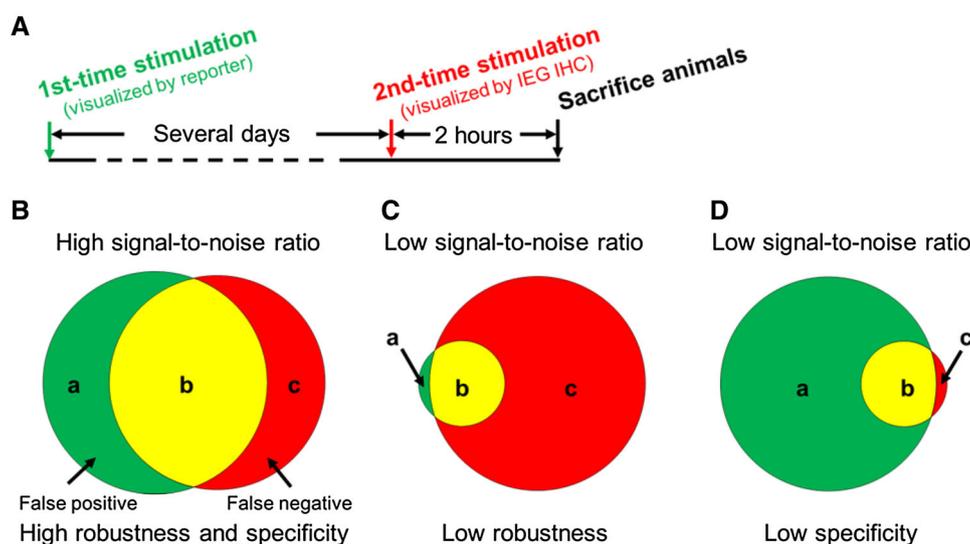


Fig. 2 Experimental procedure to quantify the robustness and specificity of IEG-dependent tools. **A** Two identical stimuli are applied several days apart (based on the literature [66, 85, 88] and our own tests, the exact time interval between the two stimuli may depend on the tools, brain regions, and behavioral paradigms used) to induce signals in the IEG-dependent tool and endogenous IEG, respectively. **B** Signals of an IEG-dependent tool are divided into two parts: false positives (neurons only labeled by the IEG-dependent tool, a); and

true positives (neurons labeled by both the IEG-dependent tool and endogenous IEG staining, b). The third category, false negative signals (c) are neurons only labeled by endogenous IEG staining. We quantify the robustness of an IEG-dependent tool as $b/(b+c)$, and its specificity by $b/(a+b)$. Examples of low robustness (**C**) and low specificity (**D**) are shown. A given IEG-dependent tool needs to have both high robustness and specificity to have a high signal-to-noise ratio.

problem, “two-tiered” IEG-dependent tools have been developed in recent years.

In these tools, an IEG promoter drives the expression of a primary effector gene (the first tier) when being stimulated by the intended stimulus (foot shocks, for example). The primary effector itself does not label or manipulate activated neurons. Instead, it activates the expression of a secondary reporter or effector gene (the second tier) for the actual labeling or manipulation.

The key feature of a two-tiered IEG-dependent tool is that an additional layer of temporal control is incorporated to minimize the activity of the primary effector gene in the absence of the intended stimulus, hence the expression of the second-tier reporter or effector in neurons is also minimized. Only when the intended stimulus is to be given is the temporal control mechanism removed to allow the expression of the second-tier genes.

The TetTag mouse is one such tool that has been extensively used to tag and manipulate neurons involved in memory formation in the hippocampus, basolateral amygdala, and medial prefrontal cortex [62–65, 70, 75–77]. The TetTag transgenic mouse line uses the Tet-Off system to turn off the expression of a reporter or effector in the absence of the intended stimulus [76, 78] (Fig. 3A). In the TetTag mouse, the primary effector is tetracycline transactivator (tTA), an artificial transcription activator. Accordingly, the “second tier” gene has a synthetic

promoter which contains tetracycline response elements (TREs) and requires tTA to bind the TREs for expression.

Prior to stimulation, TetTag mice are fed a diet containing doxycycline (Dox), which binds to the cellular tTA and prevents it from binding TREs, thus preventing the reporter or effector gene from being expressed. Several days before stimulation, the TetTag mouse is switched to a diet without Dox for clearance. This provides a time window for stimulation, and for stimulus-induced tTA to bind TREs and activate the expression of the secondary reporter or effector. After stimulation, the TetTag mouse is switched back to a Dox-containing diet again to minimize tTA activity. In doing so the expression of the second tier reporter and effector gene is strictly limited to the period when the intended stimulus is given.

Another two-tiered system uses creER as the primary effector gene (Fig. 3B). creER is a derivative of cre recombinase, fused with a mutated ligand-binding domain for the human estrogen receptor (ER) [79–81]. The ER domain prevents creER from entering the nucleus without tamoxifen, an ER antagonist. The secondary reporter or effector gene is either constructed as a double-floxed inverse open reading frame (DIO or FLEX) [82], thus is in the opposite direction to its own promoter, or is prevented from being translated by a loxp-stop-loxp sequence which is placed between the effectors and their promoters [83, 84]. Therefore, in the absence of tamoxifen, cellular

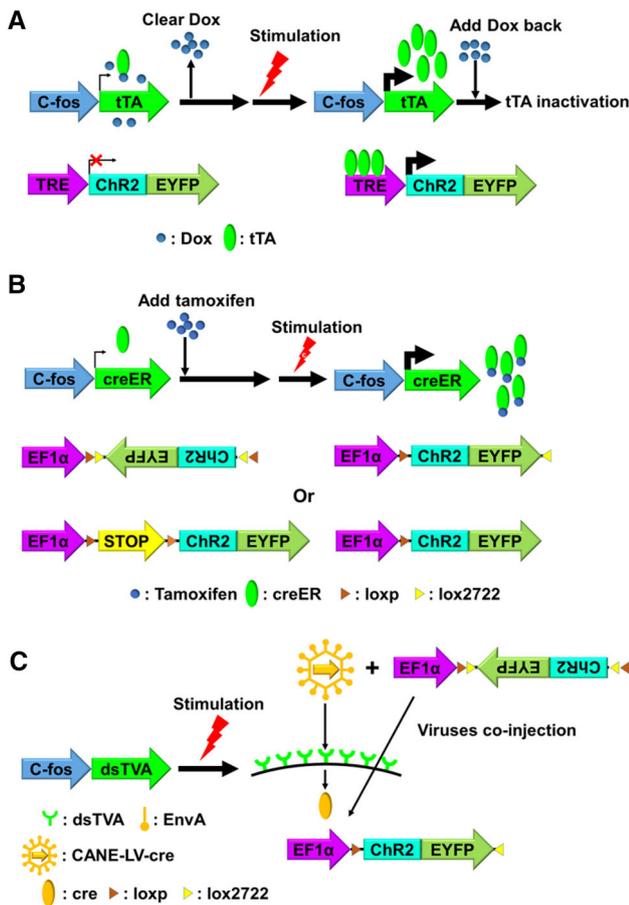


Fig. 3 Three “two-tiered” IEG-dependent tools (A: Tet-OFF; B: creER; C: CANE) and their respective mechanisms for temporal control. ChR2-EYFP is the reporter-effector for all three tools [64, 67, 88]. In CANE (C), AAV-DIO-ChR2-EYFP is co-injected with CANE-LV-cre.

creER is kept in the cytoplasm and prevented from entering the nucleus, and is unable to reorganize the expression construct of the secondary reporter or effector to allow its expression.

For stimulation, typically, animals are injected a few hours ahead with tamoxifen, which binds to the ER domain and allows stimulus-induced creER to enter the nucleus, where it either “flips” the secondary reporter or effector gene to allow transcription [67, 85, 86], or removes the intervening loxp-stop-loxp sequence to allow translation [87]. Using this strategy, *Arc-CreER* and TRAP transgenic mice have been generated to investigate neural ensembles activated by innate or learned responses, complex experiences, and an appetitive or aversive emotional stimulus [10, 67, 85, 87].

A more recent “two-tiered” system is called CANE (capturing activated neuronal ensembles) [88] (Fig. 3C). In the CANE system, the primary effector gene is a destabilized version of TVA (dsTVA), an avian membrane

receptor, which is inserted to the cell membrane of activated neurons. The secondary reporter or effector is delivered by pseudo-viral vehicles coated with EnvA, a ligand of TVA. This ensures precise targeting of the secondary reporter or effector to the stimulated, TVA-expressing neurons for expression.

Because of its short half-life, the level of dsTVA prior to stimulation is very low. Indeed, the secondary reporter or effector must be delivered to activated neurons within one to two hours after stimulation. This relatively narrow time window for targeting provides much tighter temporal control over expressing the reporter or effector genes in activated neurons than other systems, and thus more effectively minimizes non-specific expression.

IEG-Based Methods to Analyze the Molecular Features of Neural Ensembles

IEG-dependent molecular tools are also suitable for revealing the gene expression profiles of neural ensembles activated by behavior or experience. By tagging ribosomes or mRNA of activated neurons and then using immunoprecipitation (IP) to isolate translating mRNA for analysis, two groups have identified the molecular signatures of emotion-related ensembles in the medial prefrontal cortex [10] and basolateral amygdala [13]. In addition to using IEG-dependent molecular tools, it is now technically feasible to isolate activated neural ensembles for transcriptome profiling using IEG markers [89–91] or phosphorylated ribosomes [92]. By analyzing the transcriptional landscapes of activated neural ensembles, we may discover new sets of genes whose expression is significantly and rapidly altered by a given stimulus.

Evaluation of the Robustness and Specificity of IEG-Dependent Tools

IEG-dependent molecular tools have been developed to simplify the identification of stimuli-activated neural ensembles, and arguably more importantly, to install effectors such as opsin in such ensembles for future manipulation. Therefore, they need to capture neurons that are genuinely activated by the stimulus. As such, endogenous IEG activation patterns are the gold standards to evaluate a given IEG-dependent tool, which ideally should label an identical or a very similar activated neural ensemble as the endogenous IEG in response to the same stimulus.

We and others have used the experimental procedures illustrated in Fig. 2A to quantify the signal-to-noise ratio of IEG-dependent tools [66, 85, 88]. Specifically, we use two parameters to evaluate an IEG-dependent tool: first,

robustness/penetrance, which we define as the percentage of neurons labeled by endogenous IEG signals that are also labeled by the IEG-dependent reporter ($b/b+c$ in Fig. 2B); and second, specificity/faithfulness, defined by the percentage of neurons labeled by the IEG-dependent reporter that are also labeled by endogenous IEG signals ($b/a+b$ in Fig. 2B). A low degree of robustness causes insufficient labeling or installation of effectors into activated neurons (false negatives, Fig. 2C). Low specificity leads to the labeling and manipulation of neurons that are not activated by stimulation (false positives, Fig. 2D). Both cause less desirable signal-to-noise ratios, and thus should be avoided.

Some factors are known to affect the signal-to-noise ratio of specific IEG-dependent tools. For example, IEG-tTA and IEG-creER are significantly influenced by the metabolic rate of Dox and tamoxifen, respectively: Dox clearance takes days to weeks to be effective so residual Dox no longer blocks tTA from inducing the robust transcription of the secondary reporter or effector [76, 97]; similarly, tamoxifen takes several hours to induce sufficient creER entry into the nucleus [85]. If a stimulus is given too early, i.e. before Dox or tamoxifen reaches its optimal concentration, it could cause insufficient expression of the reporter or effector; alternatively, if a stimulus is given too late, the basal level of tTA or creER may cause substantial expression of reporter or effector genes in neurons activated by unintended stimuli. Therefore, researchers using these tools need to carefully optimize the time windows of Dox clearance or tamoxifen induction to ensure the optimal signal-to-noise ratio.

Furthermore, based on previous publications and our own tests [66, 85, 88], the signal-to-noise ratio of IEG-dependent tools can vary significantly between different brain regions or behavioral paradigms (unpublished data): they may be robustly activated by stimuli in some brain regions and have neural activation patterns similar to endogenous IEGs, but have poor signal-to-noise ratios in other brain regions and/or behavioral paradigms. Therefore, researchers should thoroughly test their IEG-dependent tools of choice to determine whether they have satisfactory signal-to-noise ratios for the brain regions and/or behaviors of interest (Fig. 2).

Limitations of IEG-Dependent Tools

No tools are perfect. IEG-based molecular tools do have limitations: first, there is likely a threshold of electrophysiological activity that must be passed before a neuron expresses IEG (e.g. bursting activity), so IEG mapping only reveals a subset of those neurons that actively contribute to a behavior; second, some brain areas can show strong electrophysiological activation without

expressing IEGs, e.g. the substantia nigra does not express c-fos despite robust activity [98–100]; and third, the basal levels of IEG genes can be high in some brain areas (e.g. sensory and motor cortices) [19, 20, 101, 102], thus preventing specific labeling. In these regards, other methods such as functional magnetic resonance imaging [103] and single-unit recording [11, 104, 105] can provide complementary information.

Non-IEG-Based Activity-Dependent Tools

Last year, a new class of activity-dependent tools was introduced: the Ca^{2+} -and-light-gated tools, which include Cal-Light [93] and FLARE [94]. Unlike the tools described above, these do not use IEG sequences. Instead, they are designed to label and install effectors in activated neurons in response to the rise of cytoplasmic Ca^{2+} after neuronal activation. In addition, photostimulation is incorporated to provide temporal and spatial control to minimize non-specific expression of the reporter or effector gene.

Cal-Light and FLARE both express two multi-domain fusion proteins. One fusion protein contains five domains: the transcription factor tTA, which drives the expression of reporter and effector genes in the activated neurons; a transmembrane domain, which tethers tTA to the cell surface; a light-oxygen-voltage (LOV) [95] sensing domain for light gating; a Ca^{2+} -gating domain (calmodulin (CaM) or CaM-binding peptide); and the tobacco etch virus protease (TEVp) cleavage sequence (TEVseq) to release tethered tTA[96].

The other fusion protein contains two main domains: another Ca^{2+} -gating domain that mediates the interaction between the two fusion proteins in the presence of high cytoplasmic Ca^{2+} ; and TEVp, which cleaves the TEVseq to release tTA. A third construct in these tools carries the reporter and effector genes controlled by a TRE sequence recognized by tTA.

In stimulated neurons, an influx of Ca^{2+} triggers a robust interaction between the two Ca^{2+} -gating domains, which brings TEVp into close proximity with TEVseq. However, the LOV domain blocks TEVseq from being accessed by TEVp, so an undesired rise of Ca^{2+} alone is insufficient to induce TEVp cleavage and tTA release. After minutes of blue-light exposure, LOV undergoes conformational changes and exposes TEVseq to TEVp for TEVp cleavage, tTA release, and the expression of reporter and effector genes in the activated neurons.

Like the two-tiered IEG-dependent tools described earlier, the Cal-Light and FLARE tools are capable of converting transient neural activation patterns into stable neural labeling and effector installation *via* transcriptional activation, which offers significant versatility in

choosing reporters and effectors. Most importantly, they provide tighter spatiotemporal control, especially due to the much narrower time window and smaller area of light exposure than in previous IEG-dependent tools. On the other hand, since Cal-Light and FLARE require the co-transfection of three viral constructs into the same neuron, and the ratio between the two fusion proteins affects the level of tTA release [93], additional tests may be required to determine the appropriate dosage of each construct for an application. Nevertheless, it will be exciting to see the further optimization and application of these new tools.

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Compliance with Ethical Standards

Conflict of interest All authors claim that there are no conflicts of interest.

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